



Attorney Docket No. 49,603 (71699)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS E. de Juan, Jr., et al. EXAMINER: Baxter, Jessica, R.  
U.S.S.N.: 09/523,767 GROUP: 3731  
FILED: March 11, 2000 Conf. No. 5862  
FOR: SUTURELESS OCCULAR SURGICAL METHODS AND INSTRUMENTS  
FOR USE IN SUCH METHODS

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P.O. Box 1450  
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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV 438 970 646 US in an envelope addressed Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 27, 2004.

By: \_\_\_\_\_

Safiya Jarvis

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (AFTER  
RECEIPT OF FINAL OFFICE ACTION BUT BEFORE PAYMENT OF ISSUE FEE)**

Box AF  
Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Date July 27, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

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180.00 DP

II. COPIES

- a. ☒ Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

III. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

- a. ☒ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b. ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- ☐ English translation for reference(s) \_\_\_\_\_.
  - ☐ English abstract for reference(s) \_\_\_\_\_.
  - ☐ English translation of search report/ official communication.
  - ☐ Copy of PCT/EPO Search Report.
- c. ☒ The following additional information is provided for the Examiner's consideration:
- ☐ Copy of EPO Search Report.
  - ☐ Copy of PCT Search Report.
  - ☐ Copy of Official Communication from the \_\_\_\_\_ Patent Office.
  - ☐ English translation of search report/ official communication.
  - ☒ Copy of letter from a competitor of the licensee.

FEES

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(d):

before payment of the Issue Fee and accompanied by:

- a. ☒ A fee in the amount of \$180.00; 37 C.F.R. § 1.17(p).  
and
- b. ☒ See the certification below.

VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby certifies that

- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☒ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual

designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

- c. \_\_\_\_\_ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VII. FEE PAYMENT (check one)

- ☒ Enclosed please find a check in the amount of \$180.00 for the above-indicated fee.  
☐ Please charge Deposit Account No. 04-1105 in the amount of \$230.00 for the above-indicated fee.  
☐ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule with a petition, if necessary, and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,  
Edwards & Angell, LLP

Date: July 27, 2004

By:



William J. Daley, Jr.  
(Reg. No. 35,487)  
P.O. Box 55874  
Boston, MA 02205  
(617) 439- 4444

Customer No. 21,874



<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>	<b>DOCKET NO:</b> 49603 (71699)	<b>SERIAL NO.:</b> 09/523,767
	<b>APPLICANT:</b> de Juan, Jr. et al.	
	<b>FILING DATE:</b> March 11, 2000	<b>ART UNIT</b> 3731

**UNITED STATES PATENT DOCUMENTS**

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

**OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)**

C1	Surgical Techniques for Repositioning a Dislocated Intraocular Lens, Repair of Iridodialysis, and Secondary Intraocular Lens Implantation Using Innovative 25-Gauge Forceps; S. Chang, et al.; American Journal of Ophthalmology, Vol. 119, No. 2, pages 165-174; February 1995.

<b>EXAMINER:</b>	<b>DATE:</b>
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